

# NONLINEAR OPTICS (PHYC/ECE 568)

Fall 2017 - Instructor: M. Sheik-Bahae

University of New Mexico

## *Homework #7, Due Monday, Nov. 13*

### 1. Thermal $n_2^{\text{eff}}$

(a) Calculate the nonlocal  $n_2^{\text{eff}}$  (defined as  $\langle \Delta n \rangle / I_0$ ) due to laser heating of a liquid characterized by its absorption coefficient  $\alpha$  ( $\text{cm}^{-1}$ ), density  $\rho$  ( $\text{gr./cm}^3$ ), heat capacity  $C_v$  ( $\text{J/K/gr.}$ ) and thermo-optic coefficient  $dn/dT$  ( $\text{K}^{-1}$ ). The laser intensity is  $I(t) = I_0 f(t/t_p)$  where  $I_0$  is the peak intensity and  $f(t/t_p)$  denotes the normalized temporal profile of the pulse. Thermal diffusion can be ignored in this problem if we assume that the diffusion time is much longer than  $t_p$  while being much shorter than the inter-pulse spacing. The latter requirement is for avoiding heat accumulation from pulse to pulse.

(b) Evaluate  $n_2^{\text{eff}}$  for liquid  $\text{CS}_2$  and a pulsed  $\text{CO}_2$  laser ( $\lambda = 10.6 \mu\text{m}$ ) having a square temporal profile ( $t_p = 100 \text{ ns}$ ). The  $\text{CS}_2$  parameters are  $\alpha = 0.2 \text{ cm}^{-1}$ ,  $\rho C_v = 1.3 \text{ J/K/cm}^3$ , and  $dn/dT = -8 \times 10^{-4} \text{ K}^{-1}$ .

(c) If the sound velocity ( $v_s$ ) in  $\text{CS}_2$  is  $1.5 \times 10^5 \text{ cm/sec.}$ , what is the largest laser spot-size ( $w_0$ ) for which the  $n_2^{\text{eff}}$  obtained in (b) is valid? What happens as the spot size becomes larger than this value?

### 2. $n_2^{\text{eff}}$ due to photo-generation of charge-carriers in semiconductors:

(a) Calculate the  $n_2^{\text{eff}}$  due to resonant interband charge-carrier generation in semiconductors. The known parameters for the semiconductor are: the band-gap energy  $E_g$ , the electron effective mass  $m^*$  (for both conduction and valence bands), the valence-to-conduction band absorption coefficient  $\alpha$ , and the carrier recombination time  $\tau$ . This requires a calculation of the electronic density change  $\Delta N$  (in both bands) due to linear absorption followed by the calculation of the resultant index change  $\Delta n$  from both bands using a harmonic classical electron oscillator (CEO) model. In this simple approach, the electrons in the valence band are considered bound with a resonant frequency  $\omega_0 = \omega_g = E_g/\hbar$ , while the conduction electrons are considered free ( $\omega_0 = 0$ ). Ignore damping in the CEO models. The governing equation for  $\Delta N$  is:

$$\frac{d\Delta N}{dt} = \frac{\alpha I(t)}{\hbar\omega} - \frac{\Delta N}{\tau}$$

where  $\hbar\omega$  is the incident photon energy and  $I(t) = I_0 f(t/t_p)$  is the instantaneous laser intensity. Consider two extreme cases of  $t_p \gg \tau$  and  $t_p \ll \tau$ . (You may assume a rectangular pulse).

(b) Evaluate  $n_2^{\text{eff}}$  ( $\text{cm/W}$ ) and the effective  $\chi^{(3)}$  ( $\text{esu}$  or  $\text{m}^2/\text{V}^2$ ) for GaAs with  $E_g = 1.4 \text{ eV}$ ,  $m^* = 0.1 m_0$ ,  $\alpha = 100 \text{ cm}^{-1}$ ,  $\tau = 1 \text{ ns}$ . The laser wavelength is  $\lambda = 900 \text{ nm}$  and  $t_p = 10 \text{ ps}$ .

(c) Do you expect an (nonlinear) absorptive component  $\Delta\alpha$  associated with the above index change  $\Delta n$ ? Explain (briefly).

**Problem 3.** Consider an *isotropic* nonlinear material (e.g. silica glass). We are concerned here with the self-phase modulation case where  $\chi^{(3)}_{ijkl}(\omega; \omega, \omega, -\omega)$  is involved.

(a) Show that  $\chi^{(3)}_{xxxx} = \chi^{(3)}_{xxyy} + \chi^{(3)}_{xyyx} + \chi^{(3)}_{xyxy}$

(b) Let the incident field be represented by  $\vec{E} = E_0 e^{-i\omega t} (\hat{x} + e^{i\phi} \hat{y}) / \sqrt{2}$  where  $\phi$  is the phase difference between  $x$  and  $y$  components. Note that  $\phi = m\pi$  and  $\phi = (m+1/2)\pi$  describe the linear and circular polarization respectively. Let us now define an effective nonlinear susceptibility through:

$$\vec{P}^{(3)}(\omega) = 3\epsilon_0 \chi_{eff}^{(3)} |E_0|^2 \vec{E}$$

(i) Find  $\chi_{eff}^{(3)}$  as a function of the  $\chi^{(3)}$  tensor elements and the phase angle  $\phi$ . Note, this corresponds to conditions where  $P$  is in phase as well as along  $E$ . Can we define such a  $\chi_{eff}$  for all values of  $\phi$  (i.e. elliptical polarization)? *Read Sec. 4.2 (Boyd, 3<sup>rd</sup>. ed.). You may start with Eq. 4.2.7*

(ii) Find the circular/linear dichroism defined as  $\eta = \chi_{eff}^{(3)}(\phi = \pi/2) / \chi_{eff}^{(3)}(\phi = 0)$

problem ① - ②

$$n_2^{\text{eff}} = \frac{\langle \Delta n \rangle}{I_0} = \left\langle \frac{\partial n}{\partial T} \cdot \Delta T \right\rangle / I_0 = \frac{\partial n}{\partial T} \cdot \frac{\langle \Delta T \rangle}{I_0}$$

$$\begin{aligned} \frac{dT}{dt} &= \frac{1}{\rho C_v} \alpha I(t) \Rightarrow \Delta T(t) = \frac{\alpha}{\rho C_v} \int_{-\infty}^{+\infty} I(t') dt' \\ &= \frac{\alpha I_0}{\rho C_v} \int_{-\infty}^{+\infty} f\left(\frac{t'}{t_p}\right) dt' \end{aligned}$$

- For a square pulse:  $\Delta T(t) = \frac{\alpha I_0 t}{\rho C_v}$

$$\Rightarrow \langle \Delta T \rangle = \frac{\alpha I_0 t_p}{\rho C_v 2}$$

\* In General,

- for an arbitrary pulse shape  $f(t)$  (i.e.  $I(t) = I_0 f(t)$ )

the averaging is performed as

$$\langle \Delta T \rangle = \frac{\int \Delta T(t) f(t) dt}{\int f(t) dt} = \frac{\alpha I_0}{\rho C_v} \cdot \frac{\int_{-\infty}^{+\infty} f(t) dt \int_{-\infty}^{+\infty} f(t') dt'}{\int_{-\infty}^{+\infty} f(t) dt}$$

Since,  $t_p = \int_{-\infty}^{+\infty} f(t) dt \Rightarrow$  One can show that independent of the slope of  $f(t)$ ,

$$\Rightarrow \frac{\int_{-\infty}^{+\infty} f(t) dt \int_{-\infty}^{+\infty} f(t') dt'}{\int_{-\infty}^{+\infty} f(t) dt} = \frac{t_p}{2}$$

$$\Rightarrow n_2^{\text{eff}} = \frac{\partial n}{\partial T} \cdot \frac{\alpha I_0 t_p}{2 \rho C_v} \cdot \frac{1}{I_0} \Rightarrow n_2^{\text{eff}} = \frac{\alpha t_p}{2 \rho C_v} \cdot \frac{\partial n}{\partial T}$$

problem ① - (b)

$$n_2^{\text{eff}} = \frac{0.2 \times 100 \times 10^{-9}}{1.3 \times 2} \times -8 \times 10^{-4} \approx -6 \times 10^{-12} \text{ cm}^2/\text{W}$$

problem ① - (c)

- the rise time of the nonfocal thermal lens is the acoustic transit time across the beam:

$$\tau_{\text{ac}} \approx \frac{w_0}{v_s}$$

→ For  $n_2^{\text{eff}}$  derived in part "a" to be valid,

$$t_p > \tau_{\text{ac}} \Rightarrow w_0 < v_s t_p = 1.5 \times 10^{-2} \text{ cm} = 150 \mu\text{m}$$

for  $w_0 > 150 \mu\text{m} \rightarrow n_2^{\text{eff}}$  reduces.

---

problem (2) - (a)

$$\rightarrow n \approx 1 + \frac{2\pi(e^2/m^*)N_b}{\omega_g^2 - \omega^2} + \frac{2\pi(e^2/m)N_f}{-\omega^2}$$

- Initially, all the electrons are bound. (in the valence band) and  $N_f$  (density of electron in conduction band) = 0

- after excitation of  $\Delta N$ ,  $n$  can be obtained by replacing  $N_b \rightarrow N_b - \Delta N$  and  $N_f \rightarrow \Delta N$ !

Thus,

$$\Delta n = \frac{2\pi e^2 \Delta N}{m^*} \left( \frac{-1}{\omega_g^2 - \omega^2} + \frac{1}{-\omega^2} \right) = \frac{-2\pi e^2 \Delta N}{m^* \omega^2} \cdot \frac{\omega_g^2}{\omega_g^2 - \omega^2}$$

Now, we evaluate  $\Delta N$ ,

$$\frac{d(\Delta N)}{dt} = \frac{\alpha I(t)}{h\nu} - \frac{\Delta N}{\tau} \Rightarrow \text{let } \Delta N = \Delta N' e^{-t/\tau}$$

$$\Rightarrow \frac{d\Delta N'}{dt} = \frac{\alpha I(t) e^{-t/\tau}}{h\nu} \Rightarrow \Delta N(t) = \frac{\alpha I_0}{h\nu} \int_{-\infty}^t f\left(\frac{t'}{t_p}\right) e^{\frac{t'-t}{\tau}} dt'$$

\* Case 1:  $t_p \gg \tau$

then letting  $t > \tau$ ,  $\int_{-\infty}^t f\left(\frac{t'}{t_p}\right) e^{\frac{t'-t}{\tau}} dt' \approx \tau$

$$\rightarrow \Delta N(t) \approx \frac{\alpha I_0 \tau}{h\nu}$$

$$\rightarrow \langle \Delta N \rangle = \frac{\alpha I_0 \tau}{h\nu}$$

problem (2) - (a)

\* Case 2 :  $t_p \ll \tau$

$$- \int_{-\infty}^{+} f\left(\frac{t'}{t_p}\right) e^{\frac{t'-t}{\tau}} dt' \approx \int_{-\infty}^{\tau} f\left(\frac{t'}{t_p}\right) dt'$$

- similar to problem (1),

$$\langle \Delta n \rangle = \frac{\alpha I_0 t_p}{2\hbar\omega}$$

Thus,

$$n_2^{\text{eff}} = \frac{\langle \Delta n \rangle}{I_0} = \frac{k \langle \Delta n \rangle}{I_0}$$

$$\text{Case (1)} \rightarrow n_2^{\text{eff}} = - \frac{2\pi e^2}{m^*} \cdot \frac{\alpha \tau}{\hbar\omega} \cdot \frac{1}{\omega^2} \left( \frac{\omega_g^2}{\omega_g^2 - \omega^2} \right)$$

$$\text{Case (2)} \rightarrow n_2^{\text{eff}} = - \frac{2\pi e^2}{m^*} \cdot \frac{\alpha t_p}{2\hbar\omega} \cdot \frac{1}{\omega^2} \left( \frac{\omega_g^2}{\omega_g^2 - \omega^2} \right)$$

problem (2) - (b)

$\rightarrow t_p (= 10 \text{ ps}) \ll \tau (= 1 \text{ ns}) \Rightarrow \text{Case (2) applies!}$

$\rightarrow \text{Note:}$

$$n_2^{\text{eff}} (\text{mks}) = - \frac{e^2 \alpha t_p}{4m^* \hbar\omega \epsilon_0} \cdot \frac{1}{\omega^2} \cdot \left( \frac{\omega_g^2}{\omega_g^2 - \omega^2} \right)$$

problem (2)-(c)

→ Obviously, due to Kramers-Kronig relations, (principle of -Casuality), any changing in index  $n$  is associated (accompanied) by a change of absorption (at resonance).

- For the above problem, this change of absorption is due by absorption

In a two level system,

$$\alpha = (N_1 - N_2) \sigma \quad \left\{ \begin{array}{l} N_1 \rightarrow N_0 - \Delta N \\ N_2 \rightarrow \Delta N \end{array} \right.$$

$$\rightarrow \Delta \alpha = -2 \cdot \Delta N \cdot \sigma = -2 \frac{\alpha t_p \sigma}{2 h \omega} \cdot I$$

$$\Rightarrow \Delta \alpha = -k I$$

---

problem (3)

$$P_i = 3\epsilon_0 \sum_{ijkl} \chi_{ijkl}^{(3)} E_j E_k E_l^*$$

So,

$$P_x = 3\epsilon_0 \left\{ \chi_{xxxx} E_x E_x E_x^* + (\chi_{xxyy} + \chi_{xyxy}) E_x E_y E_y^* + \chi_{xyyx} E_y E_y E_x^* \right\}$$

$$P_y = 3\epsilon_0 \left\{ \chi_{yyyy} E_y E_y E_y^* + (\chi_{xxyy} + \chi_{xyxy}) E_y E_x E_x^* + \chi_{xyyx} E_x E_x E_y^* \right\}$$

↳ when the symmetry property, that  $\chi_{xxxx} = \chi_{yyyy}$ , etc.  
has been used.

(a)  $\Rightarrow$  Consider two cases: (1)  $\rightarrow \vec{E} = E_x$  only ( $= E_0 e^{-i\omega t}$ )  
(2)  $\rightarrow \vec{E} = \frac{E_0}{\sqrt{2}} (\hat{x} + \hat{y}) e^{-i\omega t}$

- Case (2) is obtained by rotating the isotropic material by  $45^\circ$ !

- Case (1):  $\vec{P} = P_x = 3\epsilon_0 \chi_{xxxx} E_0 |E_0|^2 e^{-i\omega t}$

- Case (2):  $\vec{P} = P_x \hat{x} + P_y \hat{y} = 3\epsilon_0 (\chi_{xxxx} + \chi_{xxyy} + \chi_{xyxy} + \chi_{xyyx})$

$$\chi \frac{1}{2\sqrt{2}} |E_0| E_0 e^{-i\omega t} \frac{(\hat{x} + \hat{y})}{\sqrt{2}}$$

### problem (3)

- for isotropic materials,  $\vec{P}$  should be the same, regardless of the axes orientations; thus,

$$\frac{X_{xxxx} + X_{xxyy} + X_{yyxx} + X_{xyyx}}{2} = X_{xxxx}$$

or  $\frac{X_{xxxx} = X_{xxyy} + X_{yyxx} + X_{xyyx}}{2}$

### problem (3)-(b)

$$\vec{E} = \frac{E_0}{\sqrt{2}} (\hat{x} + \hat{y} e^{i\phi}) e^{-i\omega t}$$

- substitute into  $P_x$  &  $P_y$  in part (a) :

$$\begin{cases} P_x = 3\epsilon_0 \left\{ \left( X_{xxxx} - \frac{X_{xyyx}}{2} \right) + X_{xyyx} \frac{e^{2i\phi}}{2} \right\} |E_0|^2 \frac{E_0}{\sqrt{2}} e^{-i\omega t} \\ P_y = 3\epsilon_0 \left\{ \left( X_{xxxx} - \frac{X_{xyyx}}{2} \right) + X_{xyyx} \frac{e^{-2i\phi}}{2} \right\} |E_0|^2 \frac{E_0}{\sqrt{2}} e^{i\phi} e^{-i\omega t} \end{cases}$$

→ Note,

$\vec{P} = P_x \hat{x} + P_y \hat{y}$  → will be along  $\vec{E}$  only if :

$$e^{2i\phi} = e^{-2i\phi}$$

- This corresponds to :

(i) Linear Polarization →  $\phi = m\pi \Rightarrow e^{2i\phi} = e^{-2i\phi} = 1$

(ii) Circular Polarization →  $\phi = (2m+1)\frac{\pi}{2} \Rightarrow e^{2i\phi} = e^{-2i\phi} = -1$

- Thus,

$$X_{\text{eff}}^{(3)} = \begin{cases} X_{xxxx} & ; \text{ for LP} \\ (X_{xxxx} - X_{xyyx}) & ; \text{ for CP} \end{cases}$$

- Circular Dichroism:

$$\eta = \frac{X_{\text{eff}}^{(3)} (\text{circular})}{X_{\text{eff}}^{(3)} (\text{linear})} = 1 - \frac{X_{xyyx}}{X_{xxxx}}$$

For Kleinman system:  $\eta = \frac{2}{3}$ .

---